



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(3)

In re Application of: Baumgart et al.)
Filed: October 20, 2000) Examiner:
Serial No: 09/693,366) Group Art Unit:
For: PEELABLE AND EXPANDABLE)
POLYMERIC COATING COMPOSITION)
Atty. Docket No.: AC207/2000)

Assistant Commissioner for Patents
Box MISSING PARTS
Washington, D.C. 20231

CERTIFICATE OF MAILING
UNDER 37 CFR 1.8

THE UNDERSIGNED CERTIFIES THAT THIS DOCUMENT
IS BEING PLACED IN AN ENVELOPE ADDRESSED TO
COMMISSIONER OF PATENTS & TRADEMARKS, BOX
_____, WASHINGTON, D.C. 20231, AND
DEPOSITED AS FIRST CLASS MAIL, POSTAGE
PREPAID, THIS 13th DAY OF April 2001

D. Clegg, Jr.
(Typed or Printed Name)

D. Clegg
(Signature)

Dear Sir:

This is a response to the Notice to File Missing Parts
mailed on December 13, 2000

PATENT APPLICATION TRANSMITTAL LETTER
SUBMISSION OF MISSING PARTS
UNDER 37 CFR §1.53(c)
(FILING DATE GRANTED)

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

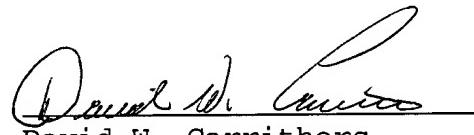
- 1) Copy of the Notice to File Missing Parts;
- 2) Check in the amount of \$130.00 payment of the Declaration surcharge and petition fee;
- 3) Copy of the filing receipt with correction thereto adding an inventor pursuant to 37 CFR 1.48;
- 4) Petition Requesting for Extension of Time and
- 5) A return postcard showing receipt of the above items.

Please note that the name of Daniel J. Dotson has been added to the inventors in addition to the original listed inventors of: Richard J. Baumgart, Frances E. Lockwood, Michael A. Dituro, and Zhiqiang Zhang. The full addresses of each are enclosed with the executed Declaration and Power of Attorney document.

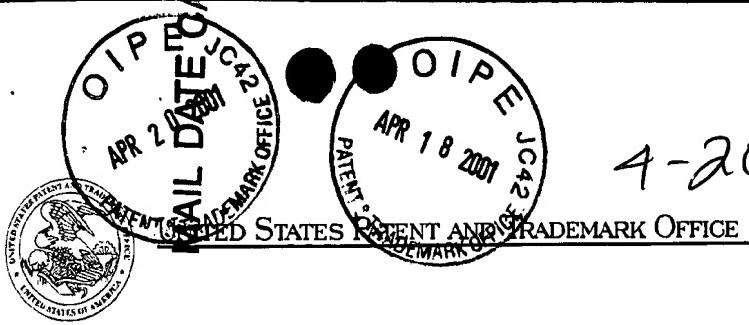
Having now complied with all of the requirements of 37 CFR §1.53, Applicant respectfully requests that the application be in condition for examination.

Please charge any underpayments or credit any overpayments to Deposit Account 500642.

Respectfully submitted,



David W. Carrithers
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H.A.
4-20-01

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~~Section 8~~(3)

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,366	10/20/2000	Richard J. Baumgart	AC207/2000

David W Carrithers
Carrithers Law Office
One Paragon Centre
6060 Dutchman's Lane Suite 140
Louisville, KY 40205

FORMALITIES LETTER



OC000000005617260

Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2001 NMUNRIFI 00000002 00593386

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\$20.00 CP